

# PROCESS IMPROVEMENT THROUGH DMAIC SIX SIGMA METHODOLOGY

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## ABSTRACT:

Due to continuous improvement of the quality and therefore fully satisfy the needs and expectations of each partner (customers, staff and other stakeholders) and also maintain competitive advantage. It becomes necessary to implement different quality improvement initiatives like Total Quality Management, ISO certification, Agile & Lean manufacturing etc. But these initiatives are not profitability as well as time efficient in terms of quality so introducing and implementing the Six Sigma methodologies was proven to provide breakthrough quality improvements in a reasonable short time. This paper present manufacturing process improvement through DMAIC Six Sigma methodology.

**KEYWORDS:** DMAIC; Six Sigma; manufacturing process; Lean six sigma.

## INTRODUCTION:

In real several manufacturing areas at present, real challenges are arising for the improvements in downtime reduction, quality improvement, efficiency improvement, machine utilization improvement, cycle time reduction etc. To do such improvement Six Sigma's DMAIC approach (Define - Measure - Analyze - Improve - Control) is very helpful.

Six Sigma is recognized as a problem-solving method that uses quality and statistical tools for basic process improvements. Six Sigma is now widely accepted as a highly performing strategy for driving defects out of a company's quality system. Six Sigma is defined as a set of statistical tools adopted within the quality management to construct a framework for process improvement [1, 2]. Statistical tools identify the main quality indicator which is the parts per million (PPM) of non-conforming products [3]. Achieving a Six Sigma level means to have a process that generates outputs with 3.4 defective PPM (Coleman, 2008). Six Sigma is also defined as a multifaceted, customer-oriented, structured, systematic, proactive and quantitative philosophical approach for business

improvement to increase quality, speed the deliveries up and reduce costs [4].

The literature suggests the DMAIC and the design for Six Sigma (DFSS) methods as the two most common methodologies to implement Six Sigma, although according to [5], the main objectives of the two techniques are quite different. While DMAIC is a problem-solving method which aims at process improvement [6], DFSS refers to the new product development. In a recent paper, [7] introduced the Six Sigma-based methodology for non-formal service sectors, the framework which explores the quality needs and maps them to define - measure - analyze - improve and control (DMAIC) methodology. Eisenhower (2008) used DMAIC methodology to show that quality performance data expressed as the usual percentage defect rate can be converted into a wide range of vital, Six Sigma metrics and that these can be used to develop insight into a company's quality system.

Process improvement is nothing but the understanding of an existing process and introducing process changes to improve quality of product, reduce costs, overall efficiency of process or accelerate productivity. Generally the overall efficiency of machine or process is calculated based on the machine utilization percentage and the machine productivity over the available hours for production.

## 1.1 DMAIC APPROACH:

DMAIC is similar in function such as Plan-Do-Check-Act and the Seven Step method of Juran and Gryna for problem solving approaches. In the theory of organizational routines, DMAIC is a meta-routine: a routine for changing established routines or for designing new routines. DMAIC is applied in practice as a generic problem solving and improvement approach. DMAIC should be used when a product or process is in existence at a company but is not as per customer specifications or is not performing adequately. DMAIC should be used when a product or process is not in existence and one need to be developed or when the existing product or process has been optimized

and still does not meet the level of customer specification or six sigma level.

### 1.2 ADVANTAGES OF DMAIC APPROACH:

**Can realize genuine cost savings:** DMAIC is a particularly astute means of identifying waste and unnecessary rework. A successful DMAIC implementation can pay for itself several times over by greatly increasing the effectiveness of a process. The cycle of DMAIC is reusable too businesses can continually repeat the process, identifying further enhancements and improvements over time.

**Structured thinking:** The DMAIC process is systematic and thorough. It enables decisions to be made based on actual data and measurement. The various tools and techniques used in the analysis phase can flush out problems and issues that might not have been exposed otherwise and the approach often brings a fresh way of thinking to established processes.

**Looks at the longer term:** DMAIC implementation is seldom about quick fixes. The approach lends itself to longer term process resolution so for established businesses or businesses with particularly complicated processes, DMAIC works very well. Many projects toy with a problem, implement a quick fix and then walk away. The control phase of the DMAIC methodology ensures that this never happens.

### LITERATURE REVIEW:

The aim of Six Sigma DMAIC is to remove variation from processes and strive to manufacture defect-free products. It is considered a business strategy and a science that combines statistical and business methodologies which focus on continuous and breakthrough improvements to reduce manufacturing costs, improve customer satisfaction and to predictably produce world class products and services [9,10,11,12].

[13] determined that the six sigma team members apply sophisticated root cause analysis techniques and obtain significantly more control and explanation into a problem as compared to any other quality improvement initiative. The distinguishing feature was that continuous improvement was incremental whereas Six Sigma produced a dramatic improvement.

[15,16,17], state the define, measure, analyze, improve and control (DMAIC) cycle served to define a process to improve, measure the baseline and target performance of the process, analyze the process data to determine the key process inputs that affect the outputs, improve the process to optimize the outputs, and, finally, to control the improved process for sustaining the improvement.

The Six Sigma toolbox comprises of the seven design tools, the seven statistical tools, the seven project tools, the seven lean tools, the seven customer tools, the

seven quality control tools and the seven management tools. Despite this variety, [16] advised that previous empirical studies indicate that the seven quality control tools were very popular and are commonly used in Six Sigma projects.

According to [18] operating at a Six Sigma level meant that the organization or process does not produce more than 3.4 defects per million opportunities.

[19] defined an opportunity as a chance for non conformance or not meeting the required specifications. The statistical focus of six sigma reflects its basic philosophy which can be shared beneficially by customers, stakeholders, employees and suppliers. It is a technique that seeks to measure existing performance metrics and investigates how the desired and optimum performance level can be achieved [20].

From a practical perspective [21] acknowledged that Six Sigma had been recognized as an overall business improvement technique rather than just a measure of goodness or a methodology for defect reduction.

According to [13] the Six Sigma technique was not restricted to improvement efforts on the production floor only, but it also has effects in different facets of an organization [6,22].

After providing some background information and the key focus areas of Six Sigma, the following section discusses why Lean and Six Sigma should be combined into an integrated business improvement technique.

### METHODOLOGY:

This work is based on implementation of DMAIC approach in manufacturing industry. The nature of this work requires a methodology that could be flexible to allow open questions to collect information since the organizations under study have many different settings.

The data required for this work will be mainly collected through different sources of evidence such as: Literatures on six sigma, semi-structured face-to-face interviews, questionnaires, organization's written procedures, websites, onsite visits, and e-mail correspondence.

### DESCRIPTION OF THE WORK:

#### 4.1 SIX SIGMA DMAIC APPROACH:

Key activities in this project: The preceding key business questions determine the DMAIC architecture. Fig. 1 depicts a high-level process flow of the DMAIC method through its five steps.

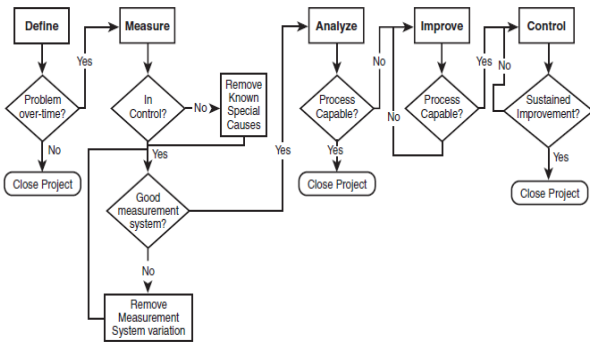


Fig. 1. DMAIC Process flow

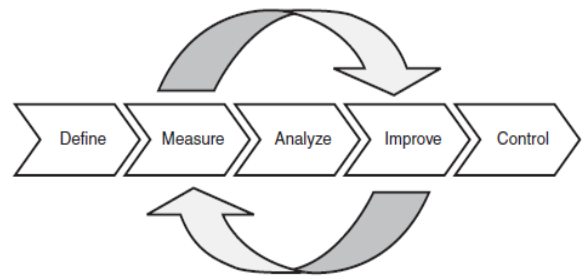


Fig. 2. DMAIC Icon

Table 1 shows the linkage between the high-level business requirements and the five-step DMAIC method.

TABLE I. DMAIC REQUIREMENTS-STEP LINKAGE

Requirements	Step
What does the customer define the problem?	1. DEFINE <ul style="list-style-type: none"> <li>Describe in the words of the external or internal customer—Voice of Customer (VOC).</li> <li>Define the boundary conditions set forth by the business, including regulatory environment—Voice of Business.</li> <li>Understand the current process. What has happened over time, examine process control charts, and identify incidents of common and special cause variation - Voice of the Process (VOP).</li> </ul>
What characterizes the current problem (that is, process and performance metrics), and how has it changed over time?	2. MEASURE <ul style="list-style-type: none"> <li>Measure the problem; describe it with facts, data, and performance metrics. Determine if the process is in control and if the measurement system is accurate.</li> <li>Considered iterative until metrics are stabilized over time.</li> </ul>
What are the root causes?	3. ANALYZE <ul style="list-style-type: none"> <li>Determine if the process is capable of producing the customer requirements. If not, consider it iterative until root causes are identified and verified with facts and data.</li> </ul>
What improvement actions correct the root cause to meet customer requirements again?	4. IMPROVE <ul style="list-style-type: none"> <li>Determine if the process is capable of producing the customer requirements. If not, consider iterative until improvements are identified and verified with facts, data, and performance metrics.</li> </ul>
What controls systems can be implemented to sustain this improvement, including a warning system, action plan, and communication plan needed in case requirements fail to be met?	5. CONTROL <ul style="list-style-type: none"> <li>Document how the improvements and/or changes can be sustained.</li> <li>Manage Risks</li> </ul>

Fig. 2 provides a DMAIC icon that reinforces both the overall flow of a method and the purpose of each step and respective interrelationships. It summarizes the five-step DMAIC process and its notable iterative nature. Throughout the remainder of this text, Fig. 2 will symbolize the DMAIC approach and indicate a particular step within it if appropriate.

4.2 TOOLS ALIGNED TO EACH STEP OF THE PROCESS:

Given the preceding High Level Task Step(s), the following series of tables summarize the subsequent tool-task-deliverables combination associated with each individual step within the five-step approach.

The detail behind how to use each tool can be found in Part II, "Six Sigma Encyclopedia of Business Tools and Techniques: Choosing the Right Tool to Answer the Right Question at the Right Time".

TABLE II. DMAIC - TOOLS AND TECHNIQUES

DMAIC Step	Tools and Techniques
1. Define	<ul style="list-style-type: none"> <li>SMART</li> <li>Project charter</li> <li>Big "Y" over time</li> <li>RACI Matrix</li> <li>Process Map</li> <li>VOC/VOB Gathering technique</li> <li>Current process control charts</li> <li>Stakeholder Analysis</li> <li>CTQ</li> </ul>
2. Measure	<ul style="list-style-type: none"> <li>Y = f(X); Big "Y" and little "Ys"</li> <li>Data Gathering Plan template</li> <li>Control Charts</li> <li>Statistical Sampling</li> <li>Graphical Methods</li> <li>QFD</li> <li>Detailed Process Map</li> <li>RACI Matrix, revised</li> <li>Measurement System analysis (MSA)</li> <li>Process Capability Analysis</li> <li>Project Charter; its plan and milestones</li> <li>Project RACI Matrix</li> </ul>
3. Analyze	<ul style="list-style-type: none"> <li>Y = f(X); Big "Y"; little "Ys" and the "Xs"</li> <li>Critical Gap/Step Analysis</li> <li>Pareto Charts</li> <li>Statistical Analysis: Normal distribution, variation</li> <li>Correlation and Regression</li> <li>Detailed Process Map</li> <li>RACI Matrix, revised</li> <li>Y = f(X)</li> <li>Process Capability analysis</li> <li>Pareto Charts</li> <li>Brainstorming technique</li> <li>Cause and Effect diagram</li> <li>Five whys</li> <li>Affinity Diagram</li> <li>DOE</li> <li>FMEA</li> </ul>

4. Improve	<ul style="list-style-type: none"> <li>• Positive Deviance</li> <li>• TRIZ</li> <li>• Basic DOE</li> <li>• FMEA</li> <li>• Cost/Benefit Analysis</li> <li>• Positive Deviance</li> <li>• Pugh Concept Evaluation</li> <li>• Solution Selection matrix</li> <li>• Force Field diagram</li> <li>• QFD</li> <li>• Process Capability Analysis</li> <li>• MSA</li> <li>• Process capability analysis</li> <li>• RACI Matrix</li> </ul>
5. Control	<ul style="list-style-type: none"> <li>• Control Plan Design</li> <li>• Control Charts (SPC)</li> <li>• FMEA/Risk Analysis</li> <li>• Communication Plan</li> <li>• Stakeholders Analysis</li> <li>• Cost/Benefit Analysis</li> <li>• Training/Transition plan</li> <li>• Process Map</li> <li>• RACI</li> <li>• Procedure manuals</li> <li>• Scorecard or Dashboard</li> <li>• New SIPOC</li> <li>• MINITAB graphical data analysis</li> </ul>

• **Inputs** - The raw materials and information used by the process to produce the output

The relationship of these three key items often is described as an equation:

$Y = f(x)$ , which reads, “*Y is a function of X.*” The “*Y*” refers to the output (s); the “*X*” refers to the key measures from the process variables (inputs and/or the process itself).

The DMAIC project goal is to identify the critical (or vital few) Xs - the *root cause* of the problem and select their optimal level(s) to best drive the desired improvement in the output performance (sometimes called the “Big Y”). The language sounds foreign to many people not comfortable with mathematically-structured sentences; however, it is readily used in most Six Sigma texts. A simpler articulation is the **goal of a DMAIC project is to improve DQOT—Productivity (how many), Financial (how much money), Quality (how well) and Time (how fast).**

**C. DMAIC VARIATIONS?**

There are two prevalent variations to the traditional DMAIC method. Both build on the DMAIC fundamentals but add new dimensions to extend its applications. The first is DMAIIC, wherein **innovation** is added for situations where a simple improvement modification is inadequate and a new design may be required. DMADV distinguishes itself from DMAIIC by not only its often unique environment scenario, but also it typically calls for a requirement of building a new process (or product design) from scratch at the start of the project; whereas, DMAIIC often is unaware of the redesign requirement until much later into the project lifecycle. The second is Lean Six Sigma, which adds concepts of *velocity*, *value-add*, and *flow* to the DMAIC concepts.

**1. DMAIIC - ADDING AN “I” FOR INNOVATION:**

Many organizations have found that improving a current process or product may not be enough to deliver the desired results, and at times *innovation* is needed. Since the project teams have just completed the Define-Measure- Analyze stages of the process and are in the midst of Improve, rather than starting over from scratch, project teams have found that the work done to this point is a good foundation for innovation work. Hence, some companies have built on the DMAIC framework already in-place and added a second “I” for innovation to keep the project team progressing. Therefore, the variation is Define-Measure- Analyze-Improve/Innovate-Control.

**2. LEAN SIX SIGMA - ADDING LEAN CONCEPTS:**

By incorporating **lean** concepts into DMAIC, the project adds a dimension of velocity (i.e. improved cycle

**4.3 SOME OF THE KEY CONCEPTS THAT CHARACTERIZE DMAIC APPROACH:**

There are some key characteristics that distinguish DMAIC from other Six Sigma methods. The following overview wraps up the DMAIC highlights and introduces some of its variants.

**A. HOW IS THE PROBLEM DEFINED?**

The problem statement in a project Charter typically speaks to defects or variance from target *over time* with an *existing, steady state* process or product. (The charter is part of a standard Six Sigma process used to document the project scope. Typically, the customer should determine the target; however, at times the business, industry standard, or regulatory agency may set it. Time-based problem statements indicate the problem may be chronic (has persisted for a period of time), which helps create a case for change (versus a one-time occurrence) to generate interest in and resources to tackle the issue.

Common metrics include DPMO (Defects per Million Opportunities (opportunities)), PPM (Parts per Million), Mean Time between Failures (MTBF), Cost, Percent Variance, or Errors.

**B. WHAT IS COMMONLY MEASURED?**

Typically, three key items are measured:

- **Output (or Outcome)** - The end result of the process (or product) requiring improvement
- **Process** - The workflow (of activities and items) that produces the output

time), value-add, and flow to what Six Sigma already offers. Both concepts share similar views on customer-focus, process-centric work, and appropriate tools. Lean simply adds a deeper set of tools to eliminate waste between process steps handoffs. Often DMAIC provides a project the big picture view (what the customer values balanced by business values) and process stabilization and capability - while Lean introduces speed and flow concepts at a more detailed level. The Define-Measure-Analyze-Improve-Control structure still holds true for Lean Six Sigma projects.

#### CONCLUSIONS:

Successful implementation and growing organizational interest in 6 $\sigma$  DMAIC method have been exploding in the last few years. It is rapidly becoming a major driving force for many technology-driven and project-driven organizations. Following are the conclusions related to implementation of DMAIC Six Sigma methodology.

- Six Sigma is an effective way to find out where the greatest process needs are and which the softest points of the process are. Also, Six Sigma provides measurable indicators and adequate data for analytical analysis.
- The DMAIC steps are a proven roadmap for any process improvement project which offers a structured approach to solving problems and improving results.
- When the DMAIC steps have properly applied, they offer any project team an organized approach, a structure, to solving key business problems.
- The DMAIC steps are flexible and can be used in any industry or virtually any type of process improvement effort.
- By establishing process controls, smooth operation will be ensured. Developing equipment / process capabilities help in de-constraining the supply chain bottlenecks. This will help not only in sound inventory control systems but also a judicious balancing between conflicting costs and benefits along with speedy delivery to customers, which is one of vital requirements in this era of lean manufacturing.
- Industries have to deal with a host of problems related to productivity and quality control. Substandard productivity hampers the internal customer demand of the products which directly affects the company targets. Organizations have to suffer huge losses which are not easy to cope up with. Thus there is a need to improve the process simultaneously keeping in mind the quality and the productivity of the product which will be only possible by implementing six sigma DMAIC approach.

- By incorporating lean concepts into DMAIC, the projects add a dimension of velocity i.e. improved cycle time, values add, and flow to what six sigma already offers.

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